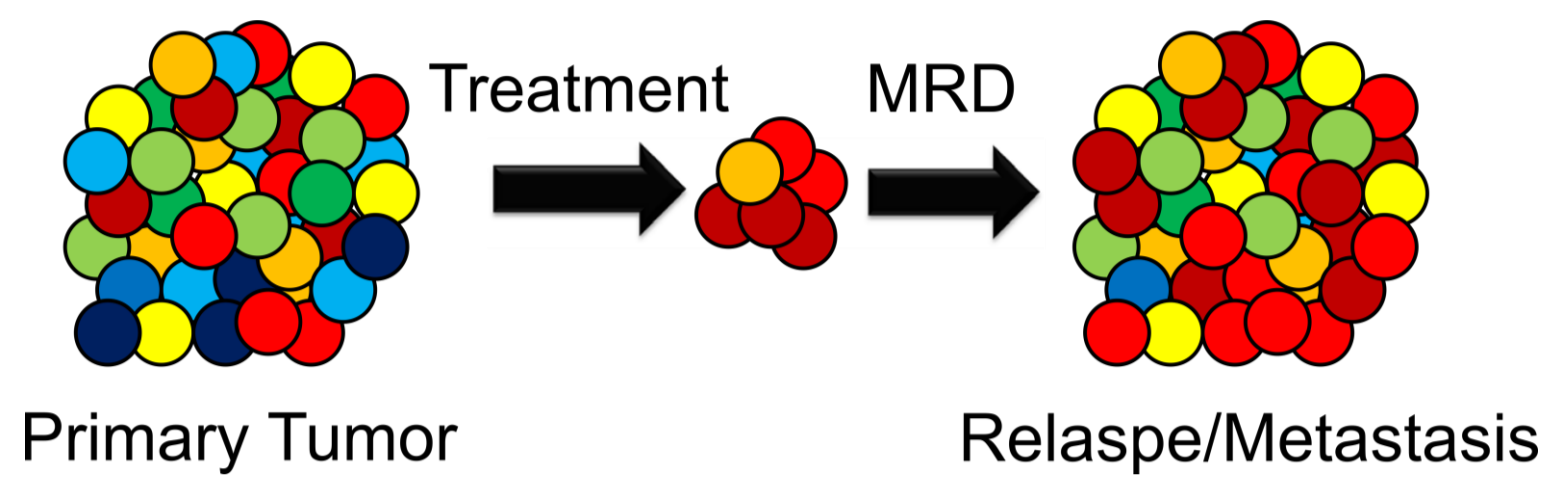


## BACKGROUND

❖ **Minimal residual disease (MRD)** refers to a small number of cancer cells that remain in the body after curative-intent treatment, causing relapse and metastasis. Current tumor biomarkers and imaging methods have limited sensitivity and specificity to monitor MRD for solid tumors.

❖ **Circulating tumor DNA (ctDNA)** is tumor-derived DNA fragments circulating in the bloodstream → a promising biomarker to monitor MRD.

❖ **Current ctDNA-based MRD assays remain mostly inaccessible and unaffordable.**



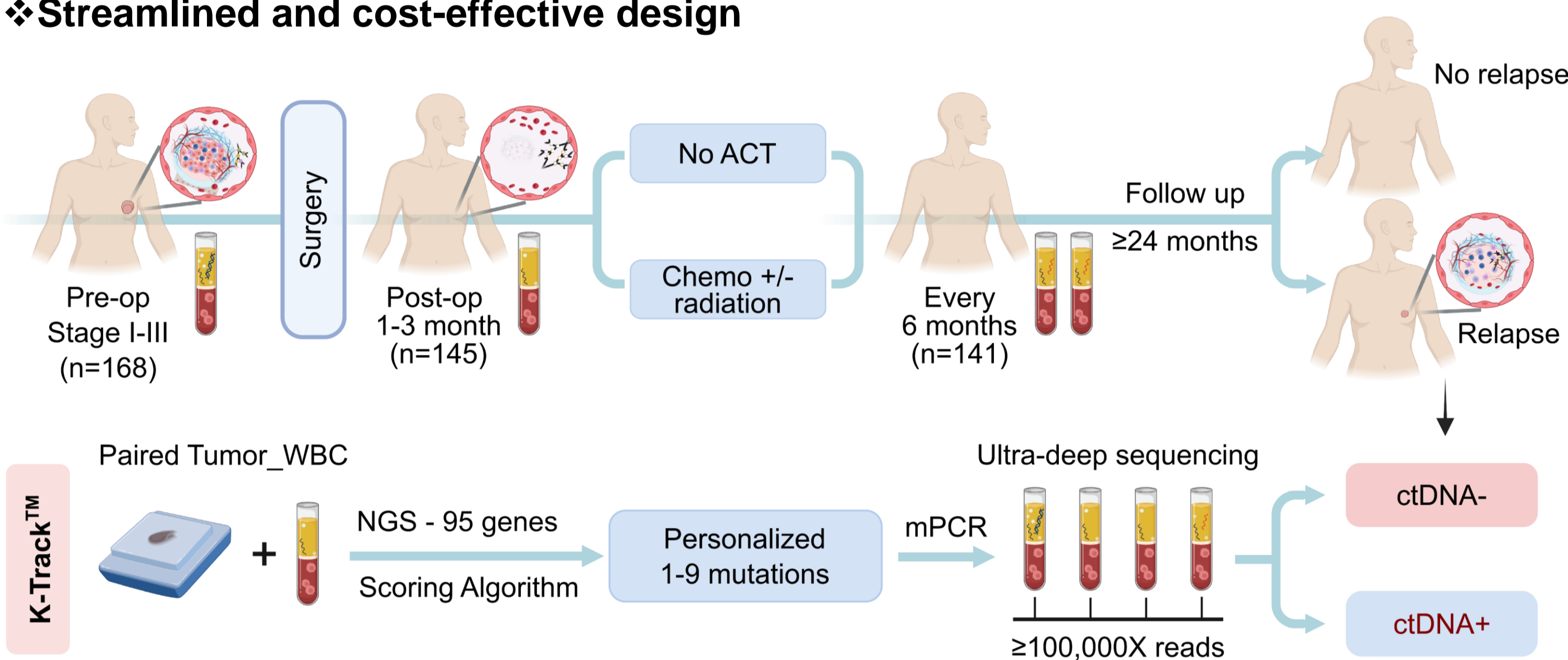
**Figure 1. MRD in solid tumors.** MRD, or the remaining cancer cells after curative-intent treatment, is the leading cause for relapse and metastasis.

Yoshida et al. J Exp Clin Cancer Res (2015)

## METHOD

❖ **Tumor-informed personalized ctDNA tracking assay**

❖ **Streamlined and cost-effective design**



**Figure 2. Study design and workflow of K-Track™ assay.** (A) 168 breast cancer patients indicated for curative-intent surgery were enrolled. Serial plasma samples were collected before surgery, after surgery and at scheduled visits. FFPE samples were also collected. (B) gDNA of paired FFPE and WBC samples were sequenced to identify all tumor-specific somatic mutations in 95 cancer-associated genes. Top mutations were ranked and selected to detect ctDNA presence in plasma samples by a multiplex PCR assay and ultra-deep sequencing at an average depth of 100,000X.

**Table 1. Patients Demographics**

Characteristics	N = 168	Characteristics	N = 168
Median age (range), year	52 (25 – 85)	Receptor status <sup>1</sup>	
Invasive carcinoma		HR+ HER2-	93 (54.8)
Ductal	164 (97.6)	<i>Ki67 Low (&lt;10%)</i>	30 (17.9)
Lobular	3 (1.8)	<i>Ki67 High</i>	63 (37.5)
Not available	1 (0.6)	HR+ HER2+	27 (16.1)
TNM stage		HR- HER2+	30 (17.9)
I	41 (24.8)	HR- HER2-	16 (9.5)
II	81 (47.9)	NA	02 (1.2)
III	33 (20.0)	Risk of recurrence <sup>2</sup>	
NA (I-III)	13 (7.3)	HR+ Low risk	48 (28.5)
		HR+ High risk	72 (42.9)
		HR- HER2+	30 (17.9)
		HR- HER2-	16 (9.5)
		HR- HER2-	16 (9.5)
		NA	02 (1.2)
		Germline <i>BRCA1/2</i>	5 (2.4)

<sup>1</sup> Receptor status was determined by IHC

<sup>2</sup> High risk criteria for HR+ patients

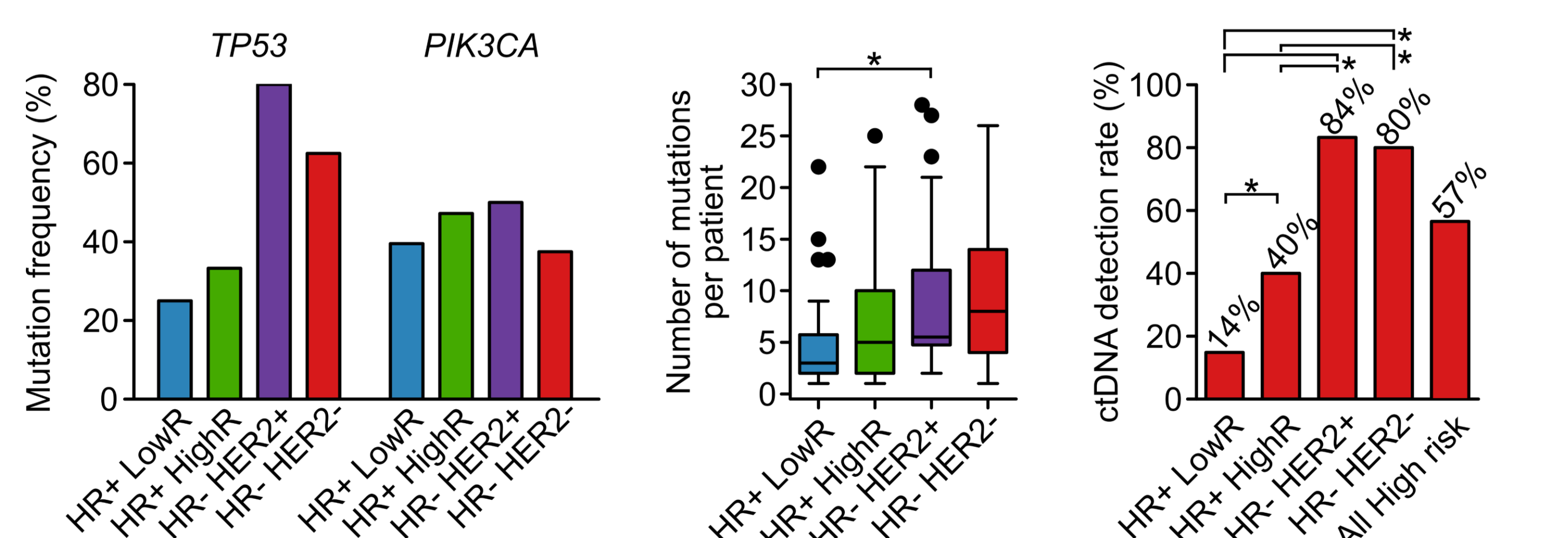
- ≥15% predicted risk of death within 10 years using ePREDICT V2.1, or
- Tumor size T>5 cm, or N≥4 nodes, or
- N=1-3 nodes and at least one of the following: T>3 cm, grade 3, high genomic risk defined as Oncotype Dx Recurrence Score >26.

## PRE-OPERATIVE DETECTION OF ctDNA

### A – Top mutated genes

### B – Mutational burden

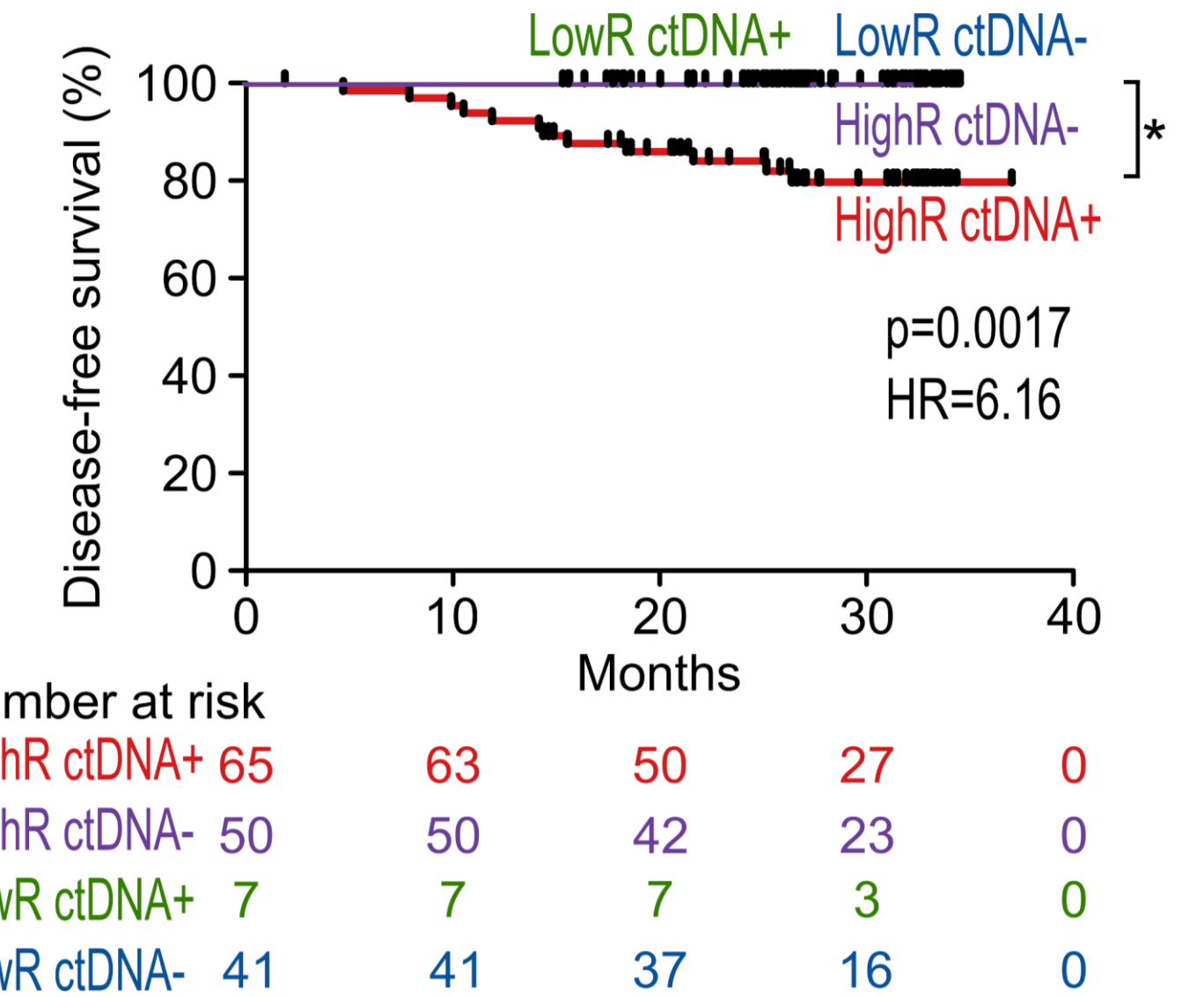
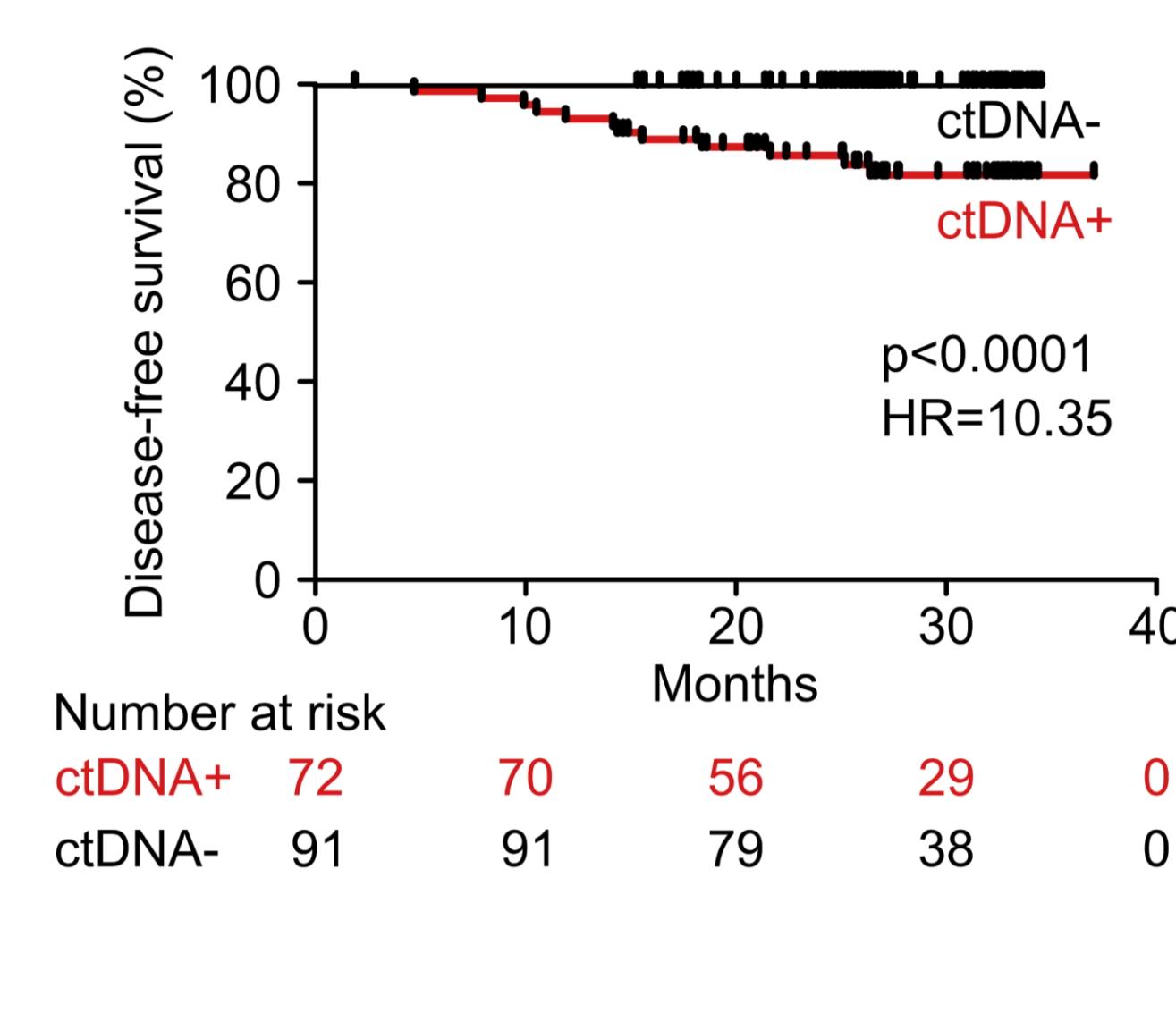
### C – Pre-operative plasma



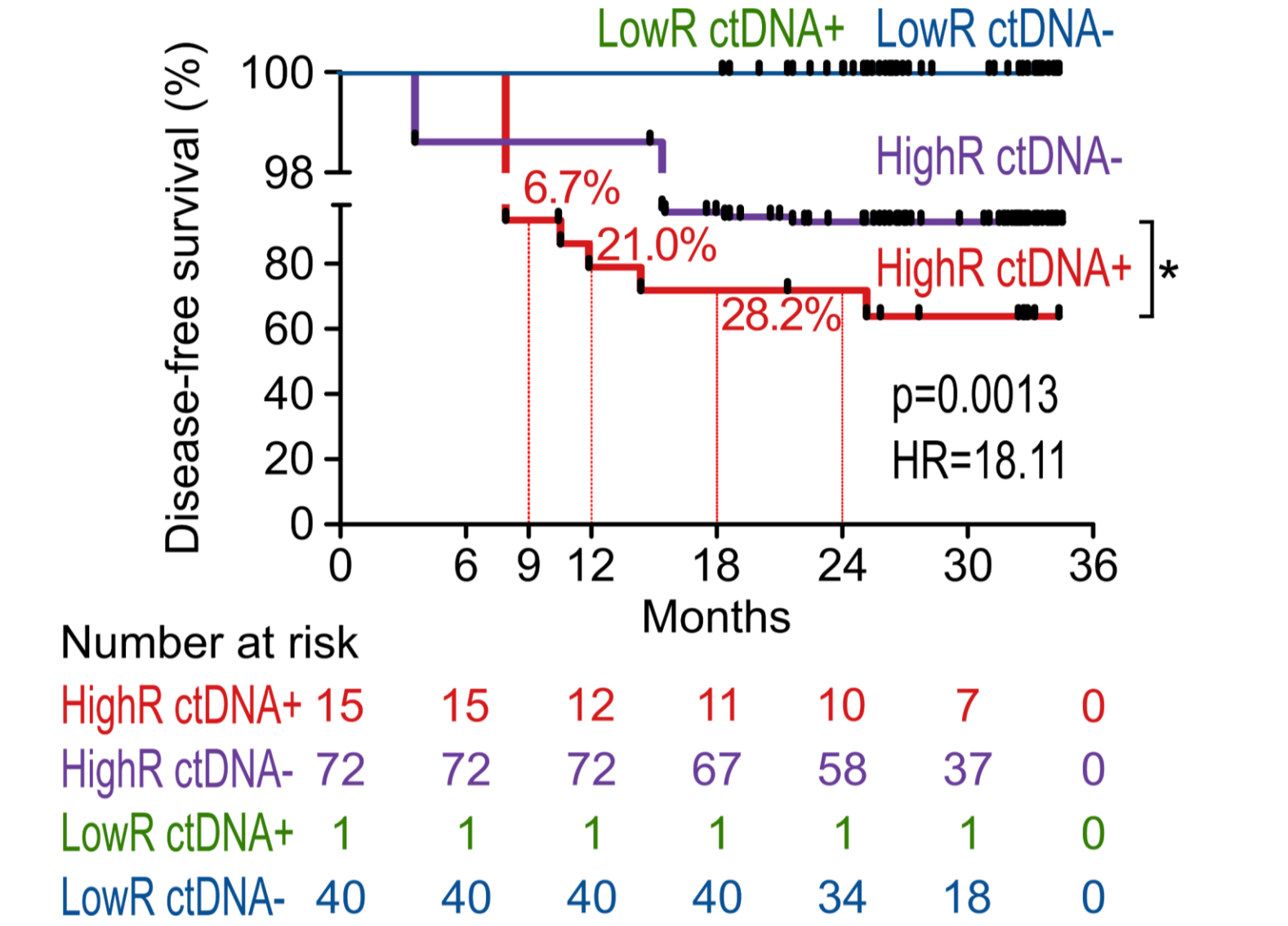
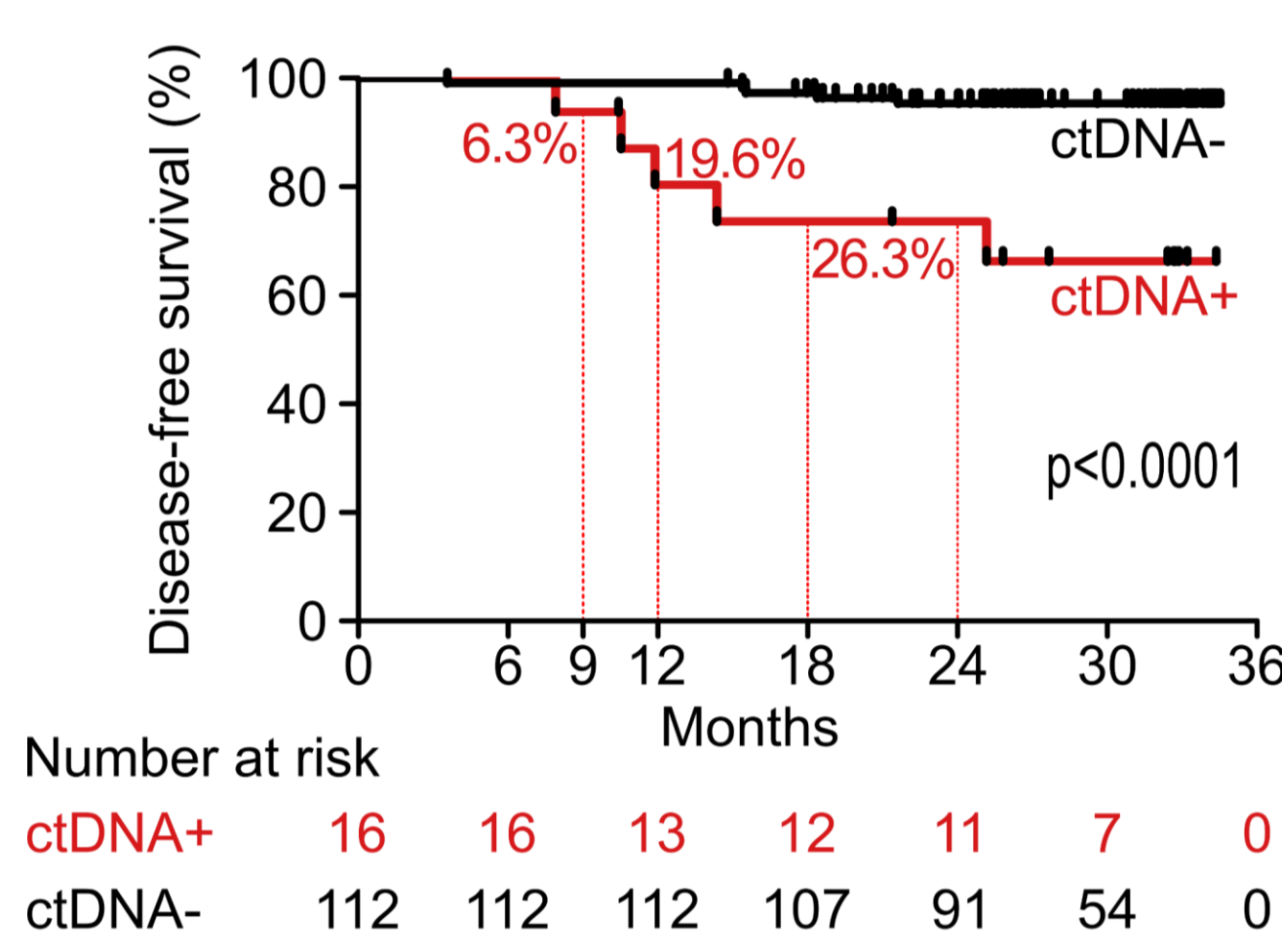
**Figure 3. Analysis of FFPE and pre-operative plasma samples.** (A) Mutation frequency of the top 2 mutated genes in FFPE samples. (B) The number of somatic mutations identified per patient was significantly higher in the HR-HER2+ compared to the HR+ groups. (C) Pre-operative ctDNA detection rate was significantly higher in the HR- compared to the HR+ groups.

## PROGNOSTIC VALUE OF ctDNA

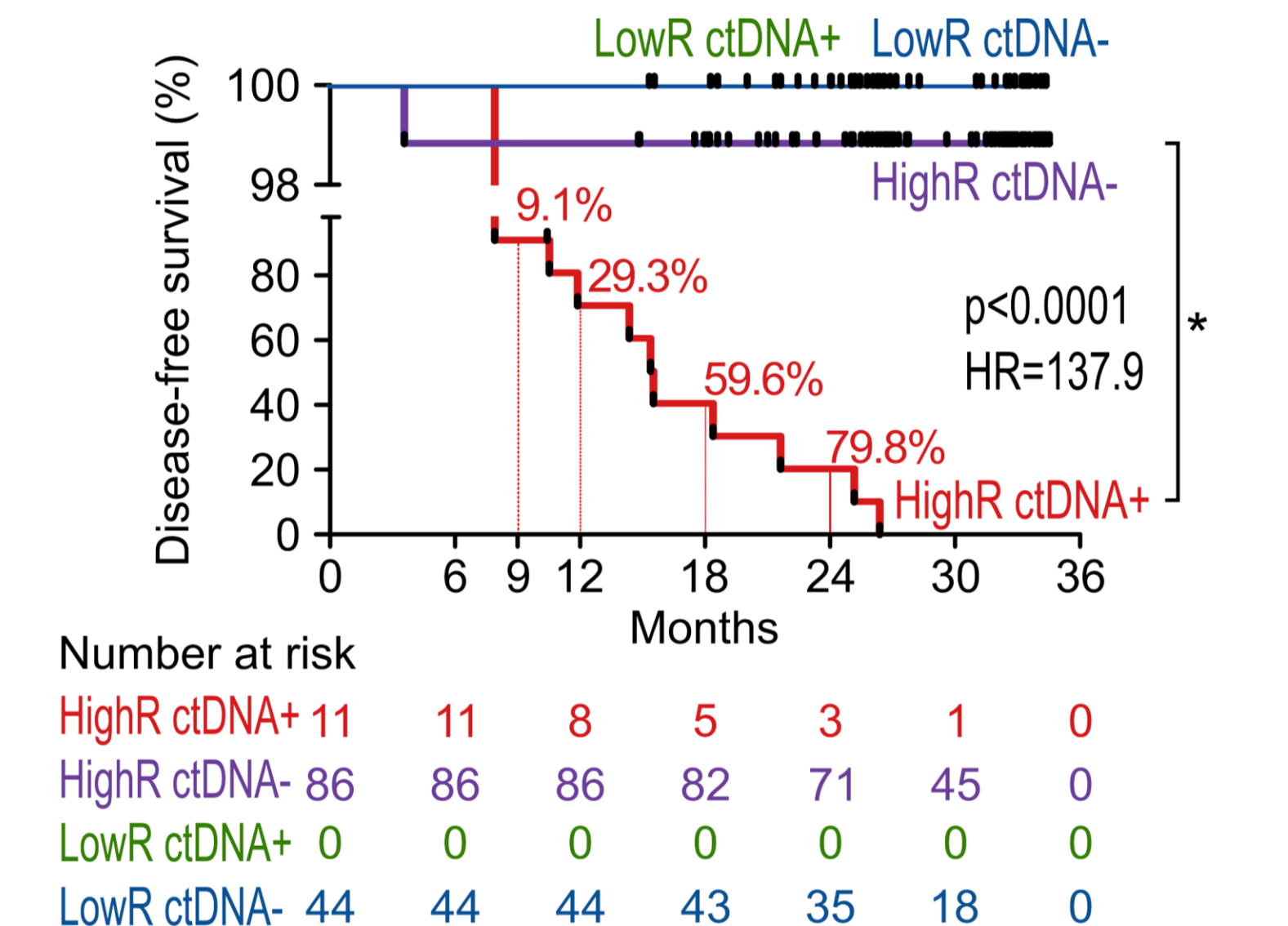
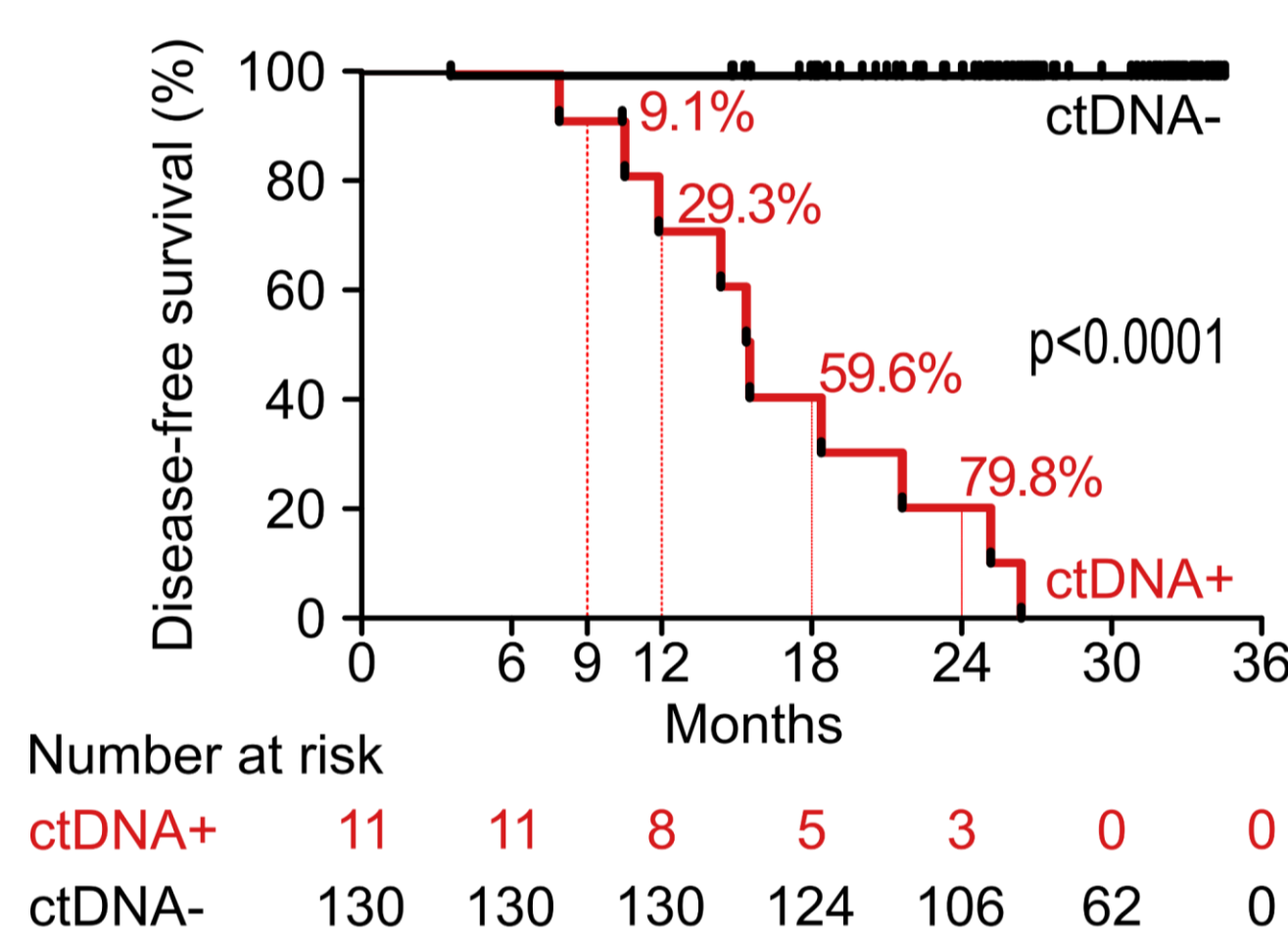
### A – PRE-operative ctDNA



### B – POST-operative ctDNA (Landmark – after surgery only)



### C – POST-operative ctDNA (Landmark and Surveillance)

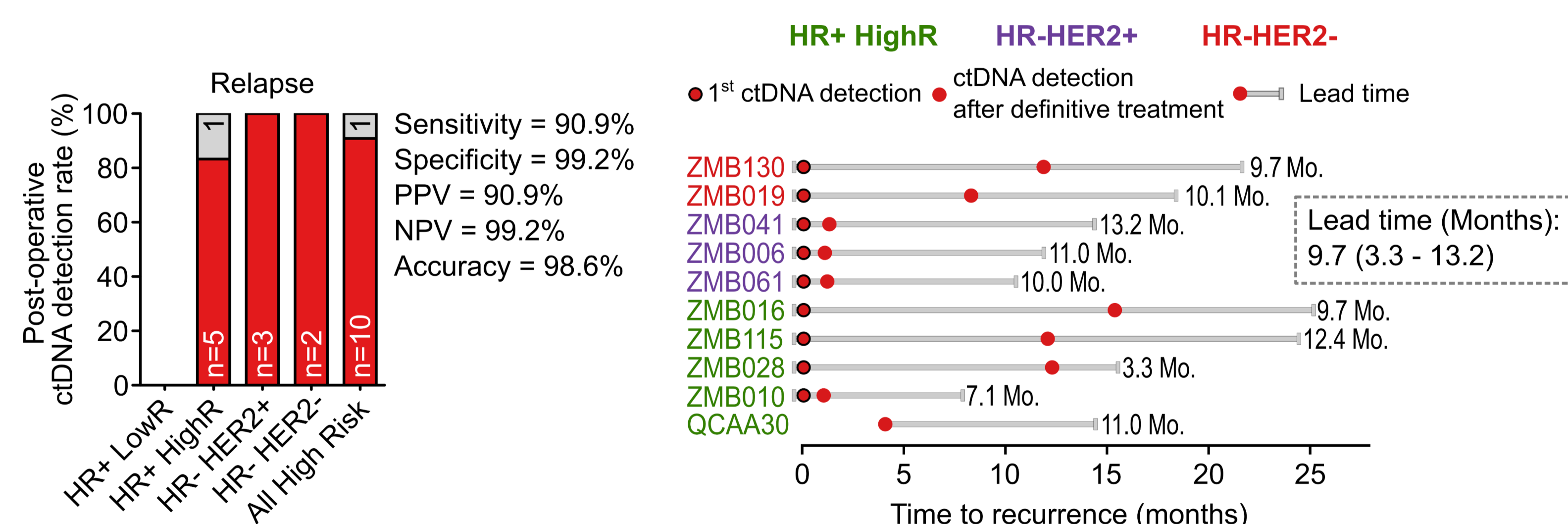


**Figure 4. Prognostic value of pre- and post-operative ctDNA.** (A-C) Kaplan-Meier plots showed that patients with ctDNA detection before surgery, and particularly after surgery were more likely to relapse than those with ctDNA(-)

## EARLY RELAPSE DETECTION

### A – Relapse detection rate

### B – Lead time ahead of clinical diagnosis



**Figure 5. Molecular relapse detection by ctDNA.** (A) The sensitivity, specificity, accuracy, positive predictive value (PPV) and negative predictive value (NPV) of post-operative ctDNA status in detecting clinical relapse. (B) Diagram showing the lead time from post-operative ctDNA detection to clinical diagnosis in all patients that relapsed.

## CONCLUSION

❖ Patients with ctDNA+ at any time after surgery had a significantly higher risk of relapse (HR=137.9) compared to those with ctDNA-. Median lead time ahead of clinical diagnosis was 9.7 months (up to 13.2 months).

❖ **K-Track™ assay** is streamlined, reliable and affordable MRD assay.

**Ethical approval** Approved by the institutional ethics committees of the University of Medicine and Pharmacy (#300/HDDD) and Thu Duc city Hospital (#17/HDDD).

**Acknowledgement** We especially thank the doctors, nurses and our patients for participating in the study.

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